

FACTS

WHAT DOES MAINSAIL FINANCIAL GROUP DO WITH YOUR PERSONAL INFORMATION?

Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	<div>The types of personal information we collect and share depend on the product or service you have with us. This information can include:<ul style="list-style-type: none">■ Name, email address, internet protocol (IP) address, address, social security number, date of birth, signature■ Financial account number, financial account balances, trading history, payment history■ Net worth, assets, income, investment experience, employment information■ Transactions or credit relationships with nonaffiliated third parties■ Medical information (if applying for insurance)</div>
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Mainsail Financial Group chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Mainsail Financial Group share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes— to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	We don't share
For nonaffiliates to market to you	No	We don't share

<div>To limit our sharing</div>	<div><ul style="list-style-type: none">■ Call (425) 502-7693■ Visit us online: https://www.mainsailfg.com/ or■ Mail the form below<p>Please note:</p><p>If you are a <i>new</i> customer, we can begin sharing your information 30 days from the date we sent this notice. When you are <i>no longer</i> our customer, we continue to share your information as described in this notice.</p><p>However, you can contact us at any time to limit our sharing.</p></div>
Questions?	Call (425) 502-7693 or go to https://www.mainsailfg.com/



Mail-in Form

Leave Blank OR
[If you have a joint account, your choice(s) will apply to everyone on your account unless you mark below.

☐ Apply my choices only to me]

Mark any/all you want to limit:

☐ Do not share information about my creditworthiness with your affiliates for their everyday business purposes.

☐ Do not allow your affiliates to use my personal information to market to me.

☐ Do not share my personal information with nonaffiliates to market their products and services to me.

Name

Address

City, State, Zip

[Account #]

Mail to:

Mainsail Financial Group

11245 SE 6th Street

Suite 140

Bellevue, WA 98004

Who we are

Mainsail Financial Group

Mainsail Financial Group is an SEC-registered investment adviser. We take pride in building a long-lasting relationship that evolves into a dual role of financial steward, and trusted financial advisor for you, your family, and your legacy.

What we do

How does Mainsail Financial Group protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

How does Mainsail Financial Group collect my personal information?

Mainsail collects nonpublic personal information from you, as well as from other sources. The sources and the information collected may include: • Information you provide to us, to our affiliated entities, or to your advisor on applications and related forms, through discussions with our customer service staff, or on our website • Information regarding your transaction history with us • Information from other nonaffiliated third parties, including employers, associations, benefit plan sponsors, credit bureaus, and other institutions, if you transfer positions or funds to Mainsail

Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

What happens when I limit sharing for an account I hold jointly with someone else?

Your choices will apply to everyone on your account.

Definitions

Nonaffiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- This may include insurance companies, broker/dealers, investment advisers, mutual fund companies, banks, investment firms, third-party administrators, clearing firms, retirement plan sponsors, and other third parties.

Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.